



Register Number:
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ST. JOSEPH'S COLLEGE (AUTONOMOUS), BANGALORE-27

CBCS – PG 3rd SEMESTER

SEMESTER EXAMINATION: OCTOBER 2019

MCOOE 9818- INTRODUCTION TO FINANCIAL MARKETS & SERVICES

Time- 1 1/2 hrs

Max Marks-35

This paper contains two printed pages and four parts

SECTION-A

Answer any FIVE of the following questions. Each question carries one mark. (5x1=5)

- 1) Differentiate between Debt & Equity.
- 2) Expand SENSEX & OTCEI.
- 3) The type of financial markets in which the corporations issues new funds to raise funds is classified as_____.
 - a) Flow market
 - b) Secondary markets
 - c) Primary markets
 - d) Central markets
- 4) CNX Nifty is a scientifically developed index reflecting accurately the market movement of the Indian markets and consists of_____ stocks.
 - a) 100
 - b) 50
 - c) 30
 - d) 75
- 5) The regulator of securities market in India is called
 - a) SEBI
 - b) IRDA
 - c) RBI
 - d) None of the above
- 6) What is a Stock Exchange?
- 7) Name any two popular credit rating agencies in India.

SECTION- B

Answer any TWO of the following questions. Each question carries five marks. (2x5=10)

- 8) Write a short note on Venture Capital & Merchant Banking.
- 9) Differentiate between Money Market & Capital Market.
- 10) What is Primary Market? Explain the functions of Primary Market.

SECTION -C

Answer any ONE of the following questions. The question carries ten marks. (1x10=10)

- 11)** What are the different investment alternatives available to an aspiring investor, discuss them in detail?
- 12)** Differentiate between an Investor and Speculator. And discuss the kinds of speculators.

SECTION -D

13) Answer the following compulsory question. The question carries ten marks.

Mr. Jason (aged 33 years) and Mrs. Sherin Jason (Age 28 years) married with two kids, Paul (Male, 6 years) and Jennifer (Female, 3 years). Mr. Jason is a Software Engineer at Hexaware Technologies earning a monthly salary of Rs. 78,000 and Mrs. Sherin Jason is a Home Maker. Their monthly savings after meeting essential expenses is Rs. 35,000 (approximately). The following is the summary of their financial intentions:

- To buy a house
- Providing for children education (7-10 years)
- Tax savings
- Sufficient liquid cash to meet emergencies.

Task:

As a Financial advisor, explain to Mr. Jason the various schemes of Mutual funds and recommend the suitable scheme applicable for him.